Lattice-switch Monte Carlo simulation for binary hard-sphere crystals

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We show how to generalize the lattice-switch Monte Carlo method to calculate the phase diagram of a binary system. A global coordinate transformation is combined with a modification of particle diameters, enabling the multicomponent system in question to be explored and directly compared to a suitable reference state in a single Monte Carlo simulation. We use the method to evaluate the free energies of binary hard-sphere crystals. Calculations at moderate size ratios $\alpha = 0.58$ and 0.73 are in agreement with previous results, and confirm *AB*2 and $AB13$ as stable structures. We also find that the $AB(CsCl)$ structure is not entropically stable at the size ratio and volume where it has been reported experimentally, and therefore that those observations cannot be explained by packing effects alone.

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I. INTRODUCTION

The most efficient packing of hard spheres is one of the most easily posed questions in physics. For monodisperse spheres, it forms the basis of Kepler's conjecture $[1,2]$ $[1,2]$ $[1,2]$ $[1,2]$, and the solution is any one of many degenerate close-packing arrangements. In physical systems such as opals and colloidal suspensions, the problem is generalized to calculation of the highest-entropy structure of a given density. Away from the degenerate close-packing limit, face-centered cubic turns out to be the most stable structure, and its exact entropy advantage has been accurately calculated $\lceil 3 \rceil$ $\lceil 3 \rceil$ $\lceil 3 \rceil$. A further generalization, which we consider here, is the packing of spheres of different radii: the so-called binary hard-sphere system.

In order to calculate stable packings for binary hard spheres, one must evaluate the free energies of candidate phases as a function of density, composition, and size ratio, so that the entire phase diagram can be constructed by minimization of the total free energy.

A common approach to free energy calculation is to use thermodynamic integration. This involves the construction of a reversible path between the structure of interest and some reference system. By performing a simulation or set of simulations that traverse that path, one can estimate the free energy difference between the reference and target systems by integrating the derivative of the free energy along that path. For example, the integration technique of Frenkel and Ladd [[4](#page-8-3)] uses an Einstein crystal as a reference system, and has been successfully applied to a wide range of target systems, including binary hard-sphere solids $\lceil 4-7 \rceil$ $\lceil 4-7 \rceil$ $\lceil 4-7 \rceil$. However, the integration process introduces an intrinsic source of systematic error. Small systematic errors can accumulate at each step in the integration, and integrating across the singularity at a phase transition causes problems. Of course, careful application can minimize these effects, but it remains preferable to have a technique where systematic errors are more easily quantifiable. The most accurate free energy differences in hard-sphere systems are obtained from lattice-switch Monte Carlo simulation $\left[3\right]$ $\left[3\right]$ $\left[3\right]$, which allows two phases to be sampled

directly during a single Monte Carlo simulation, mapping between them using a global coordinate transformation. Provided some biased sampling method ensures that the phase transformation move is accepted, the free energy difference between the structures can be determined directly from the relative probability of finding the simulation in either phase. Finite-size effects are the only source of systematic errors in this estimation process. This approach was first used to study the solid state phase behavior of monodisperse hard spheres $[3,8]$ $[3,8]$ $[3,8]$ $[3,8]$. The method was subsequently developed for different energy models (the "Hamiltonian switch") and off lattice ("phase switch"). It has been applied to the freezing transition for hard spheres in Ref. $[9,10]$ $[9,10]$ $[9,10]$ $[9,10]$ and also to soft potentials in Ref. $[11-13]$ $[11-13]$ $[11-13]$.

Here we investigate whether this methodology can be applied successfully to multicomponent systems by generalizing the lattice-switch approach to examine the structural phase behavior of binary hard spheres. The binary hardsphere system is well studied, and therefore the validity of the generalized lattice-switch method can be verified by direct comparison with the results from previous experimental and theoretical work.

Stable phases of the binary hard-sphere system are characterized primarily by the diameter ratio $\alpha = \sigma_B/\sigma_A$, where the large and small particles are designated as *A* and *B*, respectively. At high values of α , from 1.0 down to ≈ 0.92 , the system is expected to form a substitutionally disordered crystal, where *A* and *B* particles are randomly distributed on a fcc lattice $[14,15]$ $[14,15]$ $[14,15]$ $[14,15]$. At slightly lower values, in the range 0.92 $\approx \alpha \approx 0.85$, this mixed crystal becomes metastable, and the solid phase separates into fcc crystals of *A* and *B* particles $[14, 15]$ $[14, 15]$ $[14, 15]$.

Below this, for $0.85 \ge \alpha \ge 0.5$, three different lattices (shown in Fig. [1](#page-1-0)) have been observed experimentally: *AB2*, AB13, and *AB*(CsCl). *AB*13 and *AB*2 have been observed in Brazilian gem opals (colloidal silica crystals) $[16,17]$ $[16,17]$ $[16,17]$ $[16,17]$, and later in (poly)-methylmethacylate (PMMA) colloidal dispersions, both of which closely resemble hard spheres. In PMMA, *AB*13 was first seen in [[18](#page-8-14)] for $\alpha \approx 0.61$, followed by *AB*2 and *AB*13 at $\alpha \approx 0.58$ in [[19](#page-8-15)]. These two structures were determined to be unstable above $\alpha \approx 0.62$ in Ref. [[20](#page-8-16)] *G.J.Ackland@ed.ac.uk and below $\alpha \approx 0.52$ in Ref. [[21](#page-8-17)]. More recent experiments

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FIG. 1. (Color online) The three binary structures considered in this work, with the *A* particles shown as pale spheres, *B* particles shown as darker spheres, and the simulation cell shown as a translucent box. (a) The *AB*13 structure consists of a simple cubic lattice of large spheres with icosahedral clusters of 13 *B* particles in the body center of each cell. The 112-particle unit cell contains eight *B* clusters arranged in alternating orientations, where the clusters are rotated by 90° between adjacent subcells. (b) In *AB*2, hexagonal close-packed layers of *A* particles are stacked directly on top of each other, with a honeycomb pattern of *B* particles places in the interstitial sites between the *A* layers. (c) The *AB*(CsCl) structure, consisting of two interlaced simple cubic lattices, with the *B* particles at the body center of the *A* cube.

have found *AB*2 to be stable for $0.60 \ge \alpha \ge 0.425$ and *AB*13 stable over $0.62 \ge \alpha \ge 0.485$ [[22](#page-8-7)].

These complex lattices have also been seen in systems that bear little resemblance to hard spheres, such as rare gas solids $[23]$ $[23]$ $[23]$, nanocrystals $[24]$ $[24]$ $[24]$, charge-stabilized colloids $[25]$ $[25]$ $[25]$, and block copolymer micelles $[26]$ $[26]$ $[26]$. At first, it is surprising that these structures, particularly the highly complex *AB*13 superlattice, should form spontaneously in such a wide range of systems. However, it appears that entropic effects of hardsphere packing are sufficient to stabilize these structures.

Previously, the lattice-switch approach has been used for the free energy difference between two candidate structures for a single species. Two-species phase behavior is more complicated, as the stable state of a binary system may consist of coexisting phases of different compositions, at equal pressures and chemical potentials. Therefore, instead of just comparing the free energy of pairs of candidate phases, we must evaluate the absolute free energies of all competing phases so that the overall phase diagram can be constructed. The basic approach has been presented in Ref. $[27]$ $[27]$ $[27]$ by Bartlett, who considered only the fluid and pure face-centered cubic A or B) crystal phases. We shall follow the work of Eldridge *et al.* $[5-7,20]$ $[5-7,20]$ $[5-7,20]$ $[5-7,20]$ $[5-7,20]$ and Cottin and Monson $[28,29]$ $[28,29]$ $[28,29]$ $[28,29]$ and also include AB2, AB13, and AB(CsCl) as candidate twocomponent crystals. In the Eldridge *et al.* [[5](#page-8-18)] work, the stability of *AB*2 and *AB*13 for binary hard spheres was determined using the thermodynamic integration method of Frenkel and Ladd [[4](#page-8-3)], with further details of the *AB*2 calculations in Ref. $[6]$ $[6]$ $[6]$ and *AB*13 in Ref. [[7](#page-8-4)]. These results show general agreement with the experimental systems, but suggest that *AB*2 is kinetically repressed at the *AB*2 1:2 stoichiometry, as it appears only at higher concentrations of *B* particles $\lceil 22 \rceil$ $\lceil 22 \rceil$ $\lceil 22 \rceil$.

Theoretical studies of AB2, AB13, and AB(CsCl) have also been carried out via cell theory $[28,29]$ $[28,29]$ $[28,29]$ $[28,29]$, and density functional theory (DFT) [[30–](#page-9-7)[32](#page-9-8)]. For *AB*2 and *AB*13, the results are generally consistent with both the integration method and experimental results, although some minor differences remain. In particular, the range of stability of *AB*13 is computed via cell theory to be $0.61 \ge \alpha \ge 0.54$ [[29](#page-9-6)], whereas the integration method finds *AB*13 to be stable over the range $0.626 \ge \alpha \ge 0.474$ $0.626 \ge \alpha \ge 0.474$ $0.626 \ge \alpha \ge 0.474$ [7]. Although the latter work does not consider the possibility that *AB*2 is more stable than *AB*13 over this range of α , it is notable that the range of stability determined by integration methods is consistent with the range of observation of *AB*13 found in the experimental work presented in $[22]$ $[22]$ $[22]$.

The case of the $AB(CsC1)$ structure is somewhat less clear. The close-packed density of this structure peaks at α $= 0.732$, and so may compete with the slightly denser fcc structure, and indeed metastable CsCl has been observed experimentally at $\alpha = 0.736$ in [[33](#page-9-9)]. The stability of this structure has not been ascertained by integration methods: it was not considered to be a valid candidate structure in Ref. $\lceil 20 \rceil$ $\lceil 20 \rceil$ $\lceil 20 \rceil$. The cell theory work in Ref. $[29]$ $[29]$ $[29]$ did not find CsCl to be stable for any α , but DFT results have shown metastability [[31](#page-9-10)], and even stability [[32](#page-9-8)]. However, the work in Ref. [32] did not consider full phase separation into coexisting *A* and *B* fcc crystals; they authors consider only a fluid coexisting with a crystal phase made up of randomly distributed *A* and *B* particles, but rich in *A*, and the fluid composition appears to be fixed at 1:1. Interestingly, the $AB(CsCl)$ structure has been stabilized experimentally by using oppositely charged colloids $[25,34-36]$ $[25,34-36]$ $[25,34-36]$ $[25,34-36]$. Moreover, bcc is the lowest-energy arrangement for charged monodisperse particles, so even if the AB(CsCl) structure is only metastable in general, it is possible that a small amount of charge transfer between particles or between particles and fluid is enough to stabilize the structure.

For even smaller sphere size ratios ($\alpha \le 0.44$), the situation becomes more complicated as additional structures start to appear [e.g., *AB*(rocksalt) [[37](#page-9-13)]] and because, at lower volume fractions (or sufficiently low α), the small spheres are free to move from site to site within the crystal of larger spheres. Such systems are not well suited to examination via the lattice-switch approach, as the algorithm would have to be modified heavily in order to cope with the free movement of small spheres. At smaller size ratios still, it is probably better to model the presence of the *B* particles indirectly, via a depletion potential $\left[38-41\right]$ $\left[38-41\right]$ $\left[38-41\right]$.

Given the range of results that exist for binary hard spheres, the system provides an excellent testing ground for the extension of the lattice-switch approach to multicomponent systems. As our primary concern is simply to extend the lattice-switch technique to the binary system, it makes sense to choose a particular value of α to examine, instead of trying to explore a whole range of diameter ratios before the correctness of the approach has been determined. We have chosen to examine the stability of $AB2$ and $AB13$ at α = 0.58, as there has been a large amount of theoretical and experimental work published for that particular diameter ratio. Furthermore, due to the ambiguity concerning the stability of the $AB(CsCl)$ structure, we shall also use the latticeswitch approach to examine the stability of $AB(CsC1)$ relative to the fluid phase and phase-separated solid state at $\alpha = 0.73$.

II. FORMULATION

We consider a system of *N* particles, of spatial coordinates $\{\vec{r}\}\$ and diameters $\{\sigma\}$, confined within a volume *V*, and subject to periodic boundary conditions. The configurational energy for this system of hard spheres has the form

$$
E(\{\vec{r}\}) = \begin{cases} 0 & \text{if } r_{ij} \ge \sigma_{ij}, \ \forall \ i, j, \\ \infty & \text{otherwise}, \end{cases}
$$
 (1)

where $r_{ij} = |\vec{r_i} - \vec{r_j}|$ and $\sigma_{ij} = \frac{1}{2}(\sigma_i + \sigma_j)$. Each microstate has a Boltzmann weight exp−*E*-, and so the total configurational weight associated with this system can be written as

$$
\Omega(N,V) = \prod_{i} \left(\int_{V} d\vec{r_i} \right) \prod_{\langle ij \rangle} \Theta(r_{ij} - \sigma_{ij}), \tag{2}
$$

where $\Theta(x) \equiv 1(0)$ for $x \ge 0 \le 0$, and the product on $\langle ij \rangle$ extends over all particle pairs. The associated (dimensionless) entropy density is

$$
s(N,V) \equiv \frac{S(N,V)}{Nk} \equiv \frac{1}{N} \ln \Omega(N,V), \qquad (3)
$$

where *S* is the entropy and *k* is the Boltzmann constant.

This formulation describes the total entropy, integrating over all possible positions and so over all possible phases. In order to compare the statistical weights of the configurations associated with individual (candidate) phases, we must formulate a constraint that identifies a configuration as "belonging to" a given phase. To do this, we decompose the particle position coordinates into a sum of "lattice" and "displacement" vectors:

$$
\vec{r_i} = \vec{R_i^A} + \vec{u_i},\tag{4}
$$

where $\{\vec{R}\}_A = R_i^A$, $i = 1, ..., N$ forms a set of fixed vectors associated with the crystal structure labeled A. This set is defined as the orthodox crystallographic lattice convolved with the orthodox basis, but will be referred to here as simply the lattice vectors. We are thus able to initialize a simulation within a particular phase, using the appropriate set of lattice vectors $(\{\vec{R}\}_\mathcal{A})$ and diameters $(\{\sigma\}_\mathcal{A})$ for that phase, just by setting $\vec{u}_i = 0 \ \forall i$. We can now use a simple spatial criterion to decide whether a particular configuration belongs to the same phase as the simulation progresses. Periodically, throughout the simulation, the particles are checked to determine whether, after having taken any center-of-mass drift into account, \vec{u}_i is comparable to the interparticle spacing (for more details, see Sec. III C). This can happen only if the particles have been rearranged and the structure has been modified, and so this provides a robust criterion for ensuring that a set of microstates belong to the same macrostate. We note that this restriction of the configurational integral to pure perfect phases erases contributions from equilibrium concentrations of lattice defects, for example lattice vacancies; however, for the systems in question such defects are extremely rare and make no significant contribution to the total free energy $[42]$ $[42]$ $[42]$.

By performing this coordinate decomposition [Eq. ([4](#page-2-0))], we have effectively changed our stochastic variables from being $\{\vec{r}\}\$ to $\{\vec{u}\}\,$, A, operating under a modified configuration energy function [cf. Eq. (1) (1) (1)] of the form

$$
E(\{\vec{u}\}, \mathcal{A}) = \begin{cases} 0 & \text{if } r_{ij} \ge \sigma_{ij}, & \forall i, j, \\ \infty & \text{otherwise}, \end{cases}
$$
 (5)

where the lattice label A dictates the choice of lattice vectors via $r_{ij}(\{\vec{u}\}, \mathcal{A}) = |(\vec{R}_i^{\mathcal{A}} + \vec{u}_i) - (\vec{R}_j^{\mathcal{A}} + \vec{u}_j)|$ and diameters via $\sigma_{ij}(A) = \frac{1}{2} (\sigma_i^A + \sigma_j^A)$. The configuration weight associated with a particular structure can now be written as

$$
\Omega(N, V, \mathcal{A}) = \prod_{i} \left(\int_{\mathcal{A}} d\vec{r_i} \right) \prod_{\langle ij \rangle} \Theta(r_{ij} - \sigma_{ij}), \tag{6}
$$

where \int_A signifies integration subject to the single-phase constraint. The associated entropy density becomes

$$
s(N, V, A) \equiv \frac{1}{N} \ln \Omega(N, V, A). \tag{7}
$$

The lattice switch itself is a Monte Carlo move that attempts to switch the structure label, e.g., $A \rightarrow B$, thus instantaneously exchanging one complete set of lattice sites and diameters for another,

$$
[\{\vec{R}^{\mathcal{A}}\}, {\{\sigma^{\mathcal{A}}\}}] \rightarrow [\{\vec{R}^{\mathcal{B}}\}, {\{\sigma^{\mathcal{B}}\}}],
$$
 (8)

while keeping the displacements $\{\vec{u}\}\$ fixed. The details of how we construct the lattice switch and how to implement a simulation capable of switching between two different phases are given in Sec. III below. Once the two structures (A, B) have been explored, the entropy difference between them can be determined as

$$
\Delta s_{AB} \equiv s(N, V, A) - s(N, V, B) = \frac{1}{N} \ln \mathcal{R}_{AB}(N, V), \quad (9)
$$

where

TABLE I. Structures and sizes used in this work. For fcc, hcp, and *AB*2 structures, the unit cells were oriented so that the close-packed layers were lying in the *x*-*y* plane. The cubic cells of *AB*13 and CsCl were made commensurate with the simulation cell. The run times are shown for determining the equation of state (EOS), the weight function (WF), and the free energy difference (DF), in units of Monte Carlo sweeps (MCSs). Numbers in square brackets indicate the largest number of blocks the run was split into for block analysis. For all structures and sizes, 20 000 MCS runs were used to equilibrate the constant pressure runs, while the constant volume simulations needed just 5000 MCSs for equilibration.

N	Target crystal		Reference crystal				
	Structure	Dimensions	Structure	Dimensions	Run time MCSs (EOS)	Run time MCSs (WF)	Run time MCSs (DF)
112	AB13	$1 \times 1 \times 1$	hcp	$4 \times 7 \times 4$	5×10^5	10^6 [40]	4×10^6 [8]
896	AB13	$2\times2\times2$	fcc	$8 \times 8 \times 14$	5×10^5	6×10^6 [240]	6×10^6 [10]
192	AB2	$4 \times 4 \times 4$	fcc	$8\times4\times6$	10^{6}	10^6 [40]	4×10^6 [80]
648	AB2	$6\times 6\times 6$	fcc	$8\times9\times9$	10^{6}	5×10^6 [200]	2×10^6 [200]
54	CsCl	$3 \times 3 \times 3$	fcc	$6 \times 3 \times 3$	5×10^5	10^6 [40]	4×10^6 [40]
128	CsCl	$4 \times 4 \times 4$	hcp	$8\times4\times4$	5×10^5	2×10^6 [80]	5×10^6 [20]
432	CsCl	$6\times 6\times 6$	fcc	$8\times6\times9$	5×10^5	3×10^6 [120]	8×10^6 [40]

$$
\mathcal{R}_{AB}(N,V) = \frac{\Omega(N,V,A)}{\Omega(N,V,B)} = \frac{P(A|N,V)}{P(B|N,V)}.\tag{10}
$$

Here $P(A|N, V)$ is the joint probability that a system free to visit both structures will be found in the A structure.

III. IMPLEMENTATION AND METHODOLOGY

A. Defining the structures and the switch

To evaluate the free energy of a particular binary crystal, we need to perform a lattice switch simulation capable of visiting the target phase A and some reference phase B . As an accurate semiempirical expression for the free energy of a monodisperse hard-sphere fcc crystal has been determined by Alder *et al.* [[43](#page-9-17)[,44](#page-9-18)], we choose this structure to be our usual reference state. A lattice switch which kept the diameters of the particles fixed (such that $\{\sigma\}_A \equiv \{\sigma\}_B$), would require either a single simulation box containing coexisting crystals of A and B particles (and thus associated interfacial effects, making for a poor reference system) or two separate boxes corresponding to separate *A* and *B* crystals. This latter approach can be made to work, but the complexity associated with adding a second simulation cell is unnecessary when the two cells are being used to simulate two identical structures that differ only in scale (by α). A simpler option is to allow the diameters to change during the switch, turning all σ_B particles in the mixed phase into particles of diameter σ_A , and placing all these particles onto the lattice sites of a single shared fcc lattice. This creates a switch between a binary crystal and a single crystal, and ensures that the number of degrees of freedom in each system is the same. Furthermore, as the free energy difference between fcc and hcp structures is accurately known $\lceil 8 \rceil$ $\lceil 8 \rceil$ $\lceil 8 \rceil$, we are free to use either close-packed structure as a reference crystal, which affords us a little more flexibility when it comes to choosing system sizes. The system sizes used are shown in Table [I.](#page-3-0)

Once the crystal lattices have been chosen, one must choose a site mapping between crystals A and B . In the previous lattice-switch work for fcc and hcp structures more efficient switches, which preserved close-packed planes, were used. However, for binary to single-lattice switches, there are no such obvious similarities; therefore, we mapped sites between crystals at random.

To predict the equilibrium phase behavior, we must first determine the Gibbs free energy of all the candidate phases, as a function of the pressure $\overrightarrow{P} = P \sigma_A^3 / kT$ and the composition $X = N_B/(N_A + N_B)$, where N_A and N_B are the numbers of *A* and *B* particles, respectively. Naturally, we would seek to measure the Gibbs free energy directly via a lattice-switch simulation carried out in the constant pressure ensemble. However, when attempting to switch between the pure and binary crystals using a single simulation cell, the fact that the equilibrium cell size is very different for these two structures means that the switch becomes ineffective. The lattice switch updates only the lattice and the diameters, not the cell parameters, and therefore performing the switch from the reference lattice involves attempting to transform an equilibrium density pure crystal into a loosely packed binary crystal (as reducing the diameter of so many particles vastly increases the available volume). The conjugate move requires a transformation from an equilibrium density binary crystal to a pure crystal at such a high density that it is likely that all particles overlap. To facilitate either switching move, the volume of the simulation cell would have to be dilated so far that the system would rapidly melt, and indeed such a switch cannot be made to work in practice. It is possible, at least in principle, to overcome this limitation by adding an explicit volume dilation to the switch, so that the cell dimensions, the lattice, and the diameters are all changed simultaneously. However, adding a volume transformation factor affects the probability of sampling the two phases in a nontrivial way. For example, a dilation to a large cell will almost always be accepted, whereas the compression to a small cell will be difficult to achieve. This will bias the measured free energy difference by a factor which could be determined by careful application of the mapping transformation matrix approach outlined in Ref. $[8]$ $[8]$ $[8]$, but this introduces a number of computational overheads, and the slow switching rate mitigates against gathering good statistics.

All these issues can be avoided in the constant volume ensemble by keeping the density fixed when switching between the reference and target structures, thus ensuring that the displacements are reasonable in both phases, because the distances between particles are comparable in both phases under these conditions.

B. Simulation methodology

To estimate the Gibbs free energy, three simulations were carried out for each state point. The first was a single-phase constant pressure (NPT) simulation, used to estimate the equilibrium density and cell parameters $(c/a \text{ ratio})$ for each binary crystal, at each pressure. Then, two lattice-switch *NVT* simulations were performed at the volume fractions and *c*/*a* ratios determined from the *NPT* simulations. The lattice switch attempts to transform between the target crystal (at the target density and c/a ratio) and a pure fcc crystal (at the same density, but with $c/a = 1.0$). The first run is used to evaluate the multicanonical weight function and the second uses this function to determine the free energy difference between the crystals (see Sec. III C for more details).

Having determined the cell proportions, the density, and the Helmholtz free energy difference between the two structures (ΔF) for a range of pressures, we can then build the absolute free energy of the binary crystal by adding in the free energy of the pure phase. To determine this, we integrate the Alder equation of state $[43-45]$ $[43-45]$ $[43-45]$,

$$
\frac{PV}{NkT} = \frac{3}{V^*-1} + 2.566 + 0.55(V^*-1) - 1.19(V^*-1)^2
$$

+ 5.95(V^*-1)³, (11)

with respect to the reduced volume $V^* = V/V_0$ (where V_0 is the close-packed volume $N\sigma^3/\sqrt{2}$). This yields the Helmholtz free energy:

$$
\frac{F_{ex}^{Alder}}{NkT} = -3 \ln \left(\frac{V^{\star} - 1}{V^{\star}} \right) + 5.124 \ln V^{\star} - 20.78V^{\star} + \frac{19.04}{2} V^{\star 2}
$$

$$
- \frac{5.95}{3} V^{\star 3} + C_4 + 1,
$$
(12)

where, following $[45]$ $[45]$ $[45]$, the constant of integration C_4 is set to 15.05. The Gibbs free energy can be constructed from the Helmholtz form via

$$
G_{ex} = F_{ex}^{Alder} + \Delta F + PV - 1 - \ln\left(\frac{PV}{NkT}\right),\tag{13}
$$

where the last two terms arise from the difference between the Gibbs and Helmholtz free energies for an ideal gas. To compute the phase diagrams based on these free energies, we follow the approach of Bartlett $[18]$ $[18]$ $[18]$ and assume that the particles are immiscible in the solid phases, and that the binary fluid behaviour can be described accurately by the Mansoori equation $[46]$ $[46]$ $[46]$. At each pressure, the densities of the candidate phases are determined from the equations of state. From these densities, the Gibbs free energies and chemical potentials of the competing phases can be determined for each constant pressure line. The condition of equal chemical potentials is then applied along the constant pressure line, where the coexistence between the crystal phases and the Mansoori fluid is estimated from the chemical potentials of each species in the fluid via (see [[29](#page-9-6)])

$$
\mu_A^f + n\mu_B^f = (1+n) \times G(AB_n),\tag{14}
$$

where μ_A^f and μ_B^f are the chemical potentials determined from the Mansoori fluid for the *A* and *B* particles, respectively, and $G(AB_n)$ is the Gibbs free energy of the solid phase that has *n B* particles for each *A* particle.

C. Multicanonical biased Monte Carlo procedure

In general, a lattice-switch move from a zero-overlap microstate in one phase is unlikely to map onto a zero-overlap microstate in the other. Therefore, the simulation must monitor not only which phase is currently being explored, but also how close the simulation is to being able to perform the lattice switch. To find a suitable order parameter, we first let $M(\{\vec{u}\},\mathcal{A})$ denote the number of overlapping pairs of particles associated with the displacements $\{\vec{u}\}\$, within the structure A. We can then define the overlap order parameter

$$
\mathcal{M}(\{\vec{u}\}) \equiv M(\{\vec{u}\}, \mathcal{B}) - M(\{\vec{u}\}, \mathcal{A}), \tag{15}
$$

where M is necessarily ≥ 0 (≤ 0) for realizable configurations of the A (B) structure. To ensure that the simulation can reach the so-called gateway states $(\mathcal{M}=0)$, we apply the multicanonical Monte Carlo method $\lceil 47 \rceil$ $\lceil 47 \rceil$ $\lceil 47 \rceil$ as a way of biasing the simulation in a controlled fashion. To this end, we augment the system energy function such that

$$
\mathcal{E}(\{\vec{u}\}, \mathcal{A}) = E(\{\vec{u}\}, \mathcal{A}) + \eta(\mathcal{M}(\{\vec{u}\})), \tag{16}
$$

where $\eta(\mathcal{M})$, $\mathcal{M}=0, \pm 1, \pm 2,...$, constitute a set of multicanonical weights $\lceil 47 \rceil$ $\lceil 47 \rceil$ $\lceil 47 \rceil$. Given that a suitable weight function can be determined (see below), the canonical distribution $P(M|N,V)$ can be estimated from the measured multicanonical distribution $P(\mathcal{M}|N,V,\{\eta\})$ with the identification

$$
\mathcal{R}_{AB}(N,V) = \frac{\sum_{\mathcal{M}>0} P(\mathcal{M}|N,V)}{\sum_{\mathcal{M}<0} P(\mathcal{M}|N,V)} = \frac{\sum_{\mathcal{M}>0} P(\mathcal{M}|N,V,\{\eta\})e^{\eta(\mathcal{M})}}{\sum_{\mathcal{M}<0} P(\mathcal{M}|N,V,\{\eta\})e^{\eta(\mathcal{M})}}.
$$
\n(17)

Here, the exponential reweighting maps the multicanonical distribution onto the canonical, unfolding the bias associated with the weights.

The multicanonical weights are determined from nonequilibrium calculations, which are fast and give a fair approximation to the equilibrium situation. We conduct a series of separate Monte Carlo simulations, where the particle displacements (and therefore \mathcal{M}) are reset to zero at the start of each. As these simulations will each relax to the equilibrium M values, this forces the overall run to explore the full range of M . Throughout this sequence of simulation, the program monitors and accumulates the macrostate transition probability matrix, as described in Ref. $|48|$ $|48|$ $|48|$, and at the end of the whole run, an estimate of the weight function is determined from the transitions probabilities by a simple shooting method $\left[49\right]$ $\left[49\right]$ $\left[49\right]$. This weight function is then used for the second *NVT* run, during which the time spent in each phase is recorded (with the biasing taken into account), and automatically block-analyzed to produce an estimate of the free energy difference. The actual timings varied depending on the target system and the number of particles, and are shown in Table [I.](#page-3-0)

The simulations operated under the usual Metropolis algorithm $\lceil 50 \rceil$ $\lceil 50 \rceil$ $\lceil 50 \rceil$, using random numbers generated by the Mersenne Twister algorithm $\lceil 51 \rceil$ $\lceil 51 \rceil$ $\lceil 51 \rceil$, as implemented in RNG-PACK, version 1.1a $\lceil 52 \rceil$ $\lceil 52 \rceil$ $\lceil 52 \rceil$. For each move, a sphere is chosen at random, and a trial displacement is generated by taking the displacement of that particle u_i and adding a random three-→ dimensional vector $\overrightarrow{\Delta u_i}$ drawn uniformly from a cube of fixed size. The update in the displacement is accepted according to the usual Metropolis prescription:

$$
p_a(\{\vec{u}\} \to \{\vec{u}\} + \Delta \vec{u_i})
$$

= min(1, exp{- [E(\{\vec{u} + \Delta \vec{u_i}\}, \mathcal{A}) - E(\{\vec{u}\}, \mathcal{A})]}). (18)

Therefore, moves that would lead to overlaps in the current phase are always rejected, and moves that would alter the number of overlaps in the conjugate phase are accepted or rejected based on the multicanonical weights. The magnitude of $\overrightarrow{\Delta u_i}$ was automatically adjusted to yield an acceptance rate of about one-third, as this has been shown previously to produce an acceptable rate of decorrelation in M [[49](#page-9-23)]. When $\mathcal{M} = 0$, the lattice-switch move is attempted once per Monte Carlo sweep (MCS) (i.e., once every *N* particle moves) on average, and is always accepted as there is no energy cost or multicanonical weight difference associated with this move.

Due to the short-range nature of the hard-sphere interaction, the calculation of $E(\{\vec{u}\}, \mathcal{A})$ does not need to extend over all N^2 particle pairs. To make the simulation more efficient, each particle checks only for interactions with its neighbors, up to a maximum interaction distance of 1.5 unit cells (i.e., 1.5 times the *A*-*A* separation). There are two lists of neighbors for each particle, one for each phase. As mentioned in Sec. II, we require a criterion to determine whether structure is stable, and the simulation achieves this by periodically scanning the system for particles that have moved further than the near neighbor distance away from their site after having taken any center of mass diffusion into account). If this is observed to happen at any point during any simulation at a given pressure, then the structure is considered unstable, and no longer considered as a candidate structure at that pressure.

To establish the equations of state for these systems, a number of constant pressure simulations were also performed. In this case, the simulation cell parameters (the lengths of the sides of the cell, and therefore the volume) can fluctuate during the simulation. Such moves are accepted with probability

FIG. 2. Equations of state determined in the constant pressure ensemble, measuring the density as a function of the pressure. Errors in the density estimates are smaller than the symbol size. The Alder equation of state for the pure crystal $\lceil 43 \rceil$ $\lceil 43 \rceil$ $\lceil 43 \rceil$ is shown as a solid line, and the equation of state for $AB13$ as observed in Ref. [[7](#page-8-4)] is shown as a solid gray line.

$$
p_a(V \to V')
$$

= min(1, exp{- [E({ $\{\vec{u}\}'$, A) – E({ $\{\vec{u}\}$, A)}]
- P^{*} ΔV + N ln(V'/V)}), (19)

where *V'* is the volume associated with the trial move. Of course, this cell-size dilation can change $\mathcal E$ globally, and so an expensive energy calculation must be carried out for each trial volume move. Volume moves were attempted at random, once per MCS on average. The maximum size of the random volume dilation is automatically adjusted so that the acceptance rate for volume moves is about one-half, which produces an acceptable rate of exploration of the volume parameter space $[49]$ $[49]$ $[49]$.

IV. RESULTS

A. Pressure curves and stability

The equations of state, as measured by Monte Carlo simulation in the constant pressure ensemble, are shown in Fig. [2.](#page-5-0) The agreement with the data presented in Ref. $[7]$ $[7]$ $[7]$ indicates that the molecular dynamics simulation presented there used the smaller $(N=112)$ system size when computing the equation of state. Also, the close agreement between the Alder equation of state $\lceil 43 \rceil$ $\lceil 43 \rceil$ $\lceil 43 \rceil$ and the simulated fcc crystal is clear. However, we note that while the Alder form $[Eq. (11)]$ $[Eq. (11)]$ $[Eq. (11)]$ provides a reliable estimate of the equation of state, the individual terms are difficult to reproduce. Despite having determined the density of the fcc structure at each pressure to within at least 0.1%, attempting to fit an equation of the Alder form to these data led to a poorly determined fit that reproduced the original terms only to within one significant figure. It is therefore clear that a much larger range of pressures must be used to determine Alder-style equations of state for these structures, and given that quite a modest range of pressures was explored in the original work $[44]$ $[44]$ $[44]$, it is not

FIG. 3. Observed *c*/*a* ratios for the target phases.

clear that the parametrization supplied in Eq. (11) (11) (11) is a particularly accurate one.

During the equation of state simulations, the cell proportions were also monitored, and Fig. [3](#page-6-0) shows the results in terms of the *c*/*a* ratio. As expected on symmetry grounds, the *AB*13 and CsCl crystals are stable at $c/a = 1$. However, the *AB*2 crystal tends to expand in the direction perpendicular to the alternating stacking planes of *A* and *B* particles, and the degree of expansion found here is consistent with the results presented in Ref. $\lceil 6 \rceil$ $\lceil 6 \rceil$ $\lceil 6 \rceil$. The c/a ratio decreases as the pressure is lowered toward the fluid region, and as the simulations approach the melting point, the fluctuations in the volume and aspect ratio of all the crystals become large as the structures start to break down. As noted earlier, this breakdown condition discounts extended metastability and the possibility that the structure may be stabilized by some equilibrium concentration of defects, and so provides an upper bound on the actual limit of stability of the structure.

B. Free energies

The free energy differences between the reference (fcc or hcp) and target crystals $AB2$ and $AB13$ at $\alpha = 0.58$, and for $AB(CsCl)$ at $\alpha = 0.73$ are shown in Fig. [4.](#page-6-1) In all cases, the statistical uncertainty in the estimates of the free energy difference are of the order of $1 \times 10^{-3} kT$ per particle. The free energy difference between fcc and hcp structures is also around $1 \times 10^{-3} kT$ per particle [[8](#page-8-5)], and so neither this difference nor the errors in our estimates can be resolved on the scale of this plot. The *AB*2 and *AB*13 structures are lower in free energy than the fcc crystals for all densities at these size ratios.

Figure 4 also shows that the $AB(CsCl)$ structure has a significantly higher Helmholtz free energy than fcc at high densities; the difference falls rapidly as the density is lowered toward melting. However, it is important to remember that the $AB(CsC1)$ structure is not competing with a pure fcc crystal, but with one or more coexisting phases at equal pres-sures and chemical potentials. Figure [5](#page-6-2) illustrates this by comparing the Gibbs free energy of $AB(CsCl)$ with those of the competing phases—a Mansoori fluid, a pure fcc crystal

FIG. 4. Raw Helmholtz free energy difference per particle between the reference fcc and target $AB2$ and $AB13$ crystals at α $= 0.58$, and *AB*(CsCl) at $\alpha = 0.73$, as measured by the lattice-switch simulations. The final data points at low ϕ indicate the lowest density at which the structure was determined to be stable. The points at the high-density end indicate the upper limit of the chosen pressure range explored by the simulations. Some simulations were performed at larger system sizes, as shown here, and indicated that the overall form of the free energy difference does not depend strongly on the system size.

coexisting with a *B*-rich Mansoori fluid, and a dual crystal state made up of separate *A* and *B* fcc crystals. The $AB(CsC1)$ structure is clearly not stable over this range, and this result compares favorably with results from DFT calculations in Ref. $\lceil 31 \rceil$ $\lceil 31 \rceil$ $\lceil 31 \rceil$ and via cell theory in Ref. $\lceil 29 \rceil$ $\lceil 29 \rceil$ $\lceil 29 \rceil$. However, we note that the free energy difference is quite small, and so a small amount of charge asymmetry may be sufficient to stabilize the structure in the low-density solid phase.

For all structures, the free energy difference measured in the constant density ensemble shows very little dependence on system size, and even rather small systems provide rea-

FIG. 5. Gibbs free energies as a function of pressure for $AB(CsCl)$ α =0.72 at a fixed composition of *X*=0.5. The Gibbs free energies of the Mansoori fluid, the pure *A* fcc crystal coexisting with a Mansoori fluid, and the dual fcc *A* and *B* crystal phases are also shown. Finite-size effects cannot be resolved on this plot.

FIG. 6. Excess (i.e., with respect to an ideal gas) Helmholtz free energies of $AB2$, $AB13$, and fcc at structures $\alpha = 0.58$. Results from the literature for $AB2$ [[6](#page-8-19)] and $AB13$ [[7](#page-8-4)] are also shown for comparison.

sonable estimates of the free energy difference with respect to the fcc structure. To allow comparison with the literature results, the Helmholtz free energies of the structures for α $= 0.58$ are shown in Fig. [6,](#page-7-0) and the Gibbs free energies [computed via Eq. (13) (13) (13)] are shown in Fig. [7.](#page-7-1) The lattice-switch results are in clear agreement with the results determined via integration methods $[6,7]$ $[6,7]$ $[6,7]$ $[6,7]$, and with the DFT results presented in Ref. $\left[30\right]$ $\left[30\right]$ $\left[30\right]$ and the cell theory results in Ref. $\left[29\right]$ $\left[29\right]$ $\left[29\right]$. For clarity, Fig. [6](#page-7-0) includes only the results presented in Ref. $\lceil 6,7 \rceil$ $\lceil 6,7 \rceil$ $\lceil 6,7 \rceil$ $\lceil 6,7 \rceil$ and omits the almost identical results of Ref. $\lceil 28,29 \rceil$ $\lceil 28,29 \rceil$ $\lceil 28,29 \rceil$ $\lceil 28,29 \rceil$.

C. Phase diagrams

Following the prescription outlined in Sec. III B, the lattice-switch data were used to predict the pressurecomposition phase diagram for the binary hard-sphere sys-

FIG. 7. Gibbs free energies for $AB2$ and $AB13$ at $\alpha = 0.58$. The Gibbs free energies of the Mansoori fluid and of the *A* fcc crystal coexisting with a *B*-rich Mansoori fluid (at the appropriate compositions) are also shown. Statistical errors and finite-size effects cannot be resolved on this plot.

FIG. 8. *P-X* phase diagram at $\alpha = 0.58$, determined from the lattice-switch results using the methodology described in Sec. III B.

tem at $\alpha = 0.58$, and the results are shown in Fig. [8.](#page-7-2) The corresponding partial volume fraction phase diagram is shown in Fig. [9.](#page-7-3) Both these figures agree well with the established results $[5,29]$ $[5,29]$ $[5,29]$ $[5,29]$, although the partial volume fraction phase diagram does not extend to as high densities as the one published in Ref. $\lceil 5 \rceil$ $\lceil 5 \rceil$ $\lceil 5 \rceil$ due to the limited range of pressures explored in the current work.

V. DISCUSSION

We have presented a method by which the lattice-switch approach can be applied to binary mixtures, and applied it to the binary hard-sphere system. The technical innovation introduced here involves including a rescaling of particle sizes in the switch. This approach has been used to estimate the free energies of *AB*2 and *AB*13 at the size ratio $\alpha = 0.58$, from which we have generated a phase diagram which is in

FIG. 9. Partial volume fraction phase diagram, built from the *P*-*X* results shown in Fig. [8](#page-7-2) using the equation of state data to determine the densities of coexisting phases along each *P*-*X* coexistence line.

excellent agreement with previous theoretical results. We also investigated the experimentally reported CsCl phase at size ratio $\alpha = 0.73$, and found it to be unstable compared to phase-segregated fcc or the liquid phase. However, the energy differences are small, and it is possible that either charge effects or polydispersity may be responsible for the experimental observation of this structure.

Only moderate system sizes and run times were required to accurately estimate the free energies, although the lack of technical details concerning the run times and statistical uncertainties of the integration method results in the literature makes a direct comparison of efficiencies impossible. Unlike integration methods, the only errors in the lattice-switch MC estimate of the relative free energy between the reference and target systems come from finite-size effects and sampling errors, both of which are easy to quantify. However, the dependence upon the Alder crystal (in order to estimate the absolute free energy) is perhaps unfortunate, as it is unclear how accurate the parameters of this equation of state are. Furthermore, and in common with the other work in this field, the calculation of the phase boundaries relies on the assumption of immiscibility [as embodied by Eq. (14) (14) (14)] and on the Mansoori equation of state for the binary fluid. The errors associated with these last two assumptions are difficult to estimate.

However, our results are in good agreement with the literature, including those that do not depend on the Alder formulation due to using an Einstein crystal as a reference state) $[5]$ $[5]$ $[5]$. To understand why, we note that from Fig. [7](#page-7-1) it is clear that a change of the order of 1*NkT* is required to significantly alter the pressure at which the transitions occur, as the gradients of these curves are of order 1. The statistical errors in our free energy estimates are around 0.001*NkT* and the errors arising from the empirical terms of the Alder equation of state are of order 0.01*NkT*. Therefore, the positions of the phase boundaries are dominated by the leading term in the Alder equation of state and are rather insensitive to the parameters of the other terms.

Nevertheless, removing these dependencies is a desirable aim and an interesting topic for future research. For example, building a lattice-switch mapping to an analytically soluble reference crystal would allow the use of the Alder equation of state to be avoided. This Hamiltonian-switch approach could map from an Einstein crystal or single-occupancy cell model to the target potential and structure, and would allow absolute free energies to be measured directly. Also, to examine how reasonable the assumption of immiscibility is, the lattice-switch presented here could be used to directly measure the free energy costs associated with defects and faults. In the simplest case, one could switch between a pure fcc crystal of *A* particles and a fcc crystal where one of the *A* particles has been replaced with a *B*, and thus estimate the free energy cost of introducing this point defect. Direct estimation of the free energy of the binary fluid may also be possible by combining the idea of using an ideal reference state with the techniques drawn from the fluid-solid phase switch for hard spheres $\lceil 10 \rceil$ $\lceil 10 \rceil$ $\lceil 10 \rceil$.

Other future work includes lowering the size ratio, although as mentioned above, the mobility of the smaller spheres will present challenges. In this case it may be possible to use tethers, similar to those employed in the fluid-solid phase-switch work [[10](#page-8-7)]. Also, the *AB*2 and *AB*13 structures have been observed experimentally for noble gas mixtures $[23]$ $[23]$ $[23]$, and this could be investigated by generalizing our approach in the same manner as the extension to soft potentials of the original lattice switch work $[11]$ $[11]$ $[11]$. Given a suitable reference structure, these soft-potential simulations should work just as efficiently and accurately as for the binary hard-sphere system.

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